



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address COMMISSIONER FOR PATENTS
 P.O. Box 1459
 Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/821,624	04/09/2004	Mike Dunkley	0197.00

21968
NEKTAR THERAPEUTICS
150 INDUSTRIAL ROAD
SAN CARLOS, CA 94070

**CONFIRMATION NO. 8935**

FORMALITIES LETTER



OC00000001 3082984

Date Mailed: 06/28/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment.

- **Additional claim fees of \$424 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.**

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$1324** for a Large Entity

- **\$770** Statutory basic filing fee.
- **\$130** Late oath or declaration Surcharge.

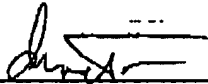
```
09/09/2004 HDEWESS1 00000036 500348 10821624
01 FC:1001 770.00 DA
02 FC:1201 172.00 DA
03 FC:1202 252.00 DA
04 FC:1051 130.00 DA
```

• Total additional claim fee(s) for this application is **\$424**

- \$172 for 2 independent claims over 3.
- \$252 for 14 total claims over 20.

Replies should be mailed to: Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

**NEKTAR™**

150 INDUSTRIAL ROAD
SAN CARLOS, CA 94070-6256
650-631-3100 • 650-631-3150 FAX

FACSIMILE TRANSMITTAL SHEET

TO: U.S. Patent and Trademark Office**FROM:** Guy Tucker**PHONE NUMBER:** (650) 620-5501**FAX NUMBER:** 703-746,4060**FAX NUMBER:** (650) 631-3125**PHONE NUMBER:****DATE:** September 8, 2004**RE:** U.S. Serial No.10/821,624**PAGES:** 9

(INCLUDING COVER)

☐ URGENT ☐ FOR REVIEW ☐ PLEASE COMMENT ☐ PLEASE REPLY ☐ PLEASE RECYCLE

NOTES/COMMENTS:

09/09/2004 HDNESS1 00000036 10821624

05 FC:1251 110.00 DA

NOTICE OF CONFIDENTIALITY

This transmission is intended only for the use of the Addressee and may contain information that is:
1. Subject to attorney/client privilege; 2. Attorney work product; or 3. Confidential. If you are not the intended recipient, you are hereby notified that any dissemination, distribution or copying of the information contained in this facsimile is strictly unauthorized and prohibited. If you have received this facsimile in error, please notify us immediately by collect phone to the sender named above.



SEP. 8.2004

9:46AM

NEKTAR THERAPEUTICS

NO.276

P.2

Practitioner's Docket No. 0197.00

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Mike Dunkley, Jon David Tuckwell, Edward William Vernon-Harcourt, Mark Glusker, and Steve Paboojian

Application No.: 10/821.624

Group No.: Unassigned

Filed: April 9, 2004

Examiner: Unassigned

For: Aerosolization Apparatus with Capsule Puncture Alignment Guide

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

COMPLETION OF FILING REQUIREMENTS
—NONPROVISIONAL APPLICATION

I. This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed June 28, 2004.

A copy of the Notice to File Missing Parts of Application--Filing Date Granted (Form PTO-1533) is enclosed.

DECLARATION OR OATH

II. No declaration or oath was filed. Enclosed is the original declaration or oath for this application.

CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10*

(When using Express Mail, the Express Mail label number is mandatory;
Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

G deposited with the United States Postal Service in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

37 C.F.R. § 1.8(a)

G with sufficient postage as first class mail.

37 C.F.R. § 1.10*

G as "Express Mail Post Office to Addressee"

Mailing Label No. _____ (mandatory)

TRANSMISSION

G facsimile transmitted to the Patent and Trademark Office, (703) _____

Signature _____

Date: _____

(type or print name of person certifying) _____

* Only the date of filing ('1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under '1.8 continues to be taken into account in determining timeliness. See '1.703(f). Consider "Express Mail Post Office to Addressee" ('1.10) or facsimile transmission ('1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

Completion of Filing Requirements—Nonprovisional Application—page 1 of 2

**COMPLETION FEES****III.**

1.	Filing Fees	
	Original patent application	\$770.00
2.	Fees for Claims	
	Each independent claim in excess of 3	\$172.00
	Each claim in excess of 20	\$252.00
3.	Surcharge Fees	
	Late payment of filing fee and/or late filing of original declaration or oath	\$130.00
	Total Completion Fees	\$1,324.00

EXTENSION OF TIME

IV. The proceedings herein are for a patent application, and the provisions of 37 C.F.R. § 1.136(a) apply.

Applicant petitions for an extension of time, the other than small entity fee for which is set out in 37 C.F.R. § 1.17(a)(1), for one month.

Fee	\$110.00
-----	----------

If an additional extension of time is required, please consider this a petition therefor.

TOTAL FEE DUE**V. The total fee due is:**

Completion fees	\$1,324.00
Extension fee (if any)	\$110.00
Total Fee Due	\$1,434.00

PAYMENT OF FEES

VI. Authorization is hereby made to charge the amount of \$1,434.00 to Deposit Account No. 500348. Charge any additional fees required by this paper or credit any overpayment in the manner authorized above. A duplicate of this paper is attached.

Signature of Practitioner
Guy V. Tucker
Nektar Therapeutics
150 Industrial Road
San Carlos, CA 94070

Reg. No.: 45,302
Tel. No.: 650-620-5501
Customer No.: 21968